

ANGUS HEALTH & SOCIAL CARE PARTNERSHIP

Corporate Strategic Risk Rating Matrix

Angus Health & Social Care Partnership – Corporate Strategic Risk Rating Matrix

No	Risk Title	Risk Owner	Risk Exposure – no controls	16 Jan 2017	12 April 2017	25 May 2017	9 Aug 2017	4 Oct 2017	22 Nov 2017	19 Jan 2018	14 Mar 2018	30 May 2018	25 July 2018	26 Sept 2018	5 Dec 2018	Planned Risk Exposure	
1.	Sustainability of Primary Care Services • 2c Practices	Associate Director – Primary Care (MW)	12 (4x3)	9 (3x3)	12 (4X3)	12 (4X3)	25 (5x5) RED	25 (5x5) RED	20 (5x4) RED	20 (5x4) RED	20 (5x4) RED	25 (5x5) RED				9 (3x3) YELLOW	↔
	Sustainability of Out of Hours Service	Chief Officer (VI)	AMBER	YELLOW	AMBER	AMBER	12 (4X3) AMBER	12 (4X3) AMBER	9 (3x3) YELLOW	9 (3x3) YELLOW	9 (3x3) YELLOW	9 (3x3) YELLOW				6 (2x3) YELLOW	↔
2.	Prescribing Management	Clinical Director (AC)	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED				20 (4x5) RED	↔ ↓
3.	Financial Management	Chief Officer (VI)	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED				20 (4x5) RED	↔
4.	Enhanced Community Support	Head of Community Health & Care Services (North Angus) (GS)	In development	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER				4 (2x2) YELLOW	↔
5.	Clinical, Care & Professional Governance	Clinical Director (AC)	9 (3x3) YELLOW	9 (3x3) YELLOW	9 (3x3) YELLOW	6 (2x3) YELLOW	6 (2x3) YELLOW	6 (2x3) YELLOW	6 (2x3) YELLOW	6 (2x3) YELLOW	6 (2x3) YELLOW	ARCHIVED	ARCHIVED	ARCHIVED	ARCHIVED	6 (2x3) YELLOW	↔
6.	Implementation of Strategic Planning Priorities	Head of Community Health & Care Services (South Angus) (GB)	16 (4x4) AMBER	16 (4x4) AMBER	12 (3x4) AMBER	12 (3x4) AMBER	8 (2x4) YELLOW	8 (2x4) YELLOW	3 (1X3) GREEN	3 (1X3) GREEN	3 (1X3) GREEN	ARCHIVED	ARCHIVED	ARCHIVED	ARCHIVED	8 (2x4) YELLOW	↔
7.	Performance Management	Head of Community Health & Care Services (North Angus) (GS)	20 (5x4) RED	12 (4X3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	9 (3x3) YELLOW	9 (3x3) YELLOW			8 (2x4) YELLOW	↓
8.	Workforce Optimisation	Head of Mental Health Services (BT)	9 (3x3) YELLOW	12 (4X3) AMBER	12 (4x3) AMBER	12 (4x3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	12 (4X3) AMBER	12 (4x3) AMBER				6 (2x3) YELLOW	↔
9.	Complex and Co-existing Conditions	Clinical Director (AC)	20 (4x5) RED	-	-	-	-	-	-	-	-	20 (4x5) RED				10 (2x5) AMBER	↔
10.	Compliance with GDPR and Data Protection Act 2018	Clinical Director (AC)	20 (4x5) RED	-	-	-	-	-	-	-	-	20 (4x5) RED				4 (2x2) GREEN	↔

Risk Exposure Rating

Critical/Extreme (5)	5 YELLOW	10 AMBER	15 AMBER	20 RED	25 RED
Major (4)	4 YELLOW	8 YELLOW	12 AMBER	16 AMBER	20 RED
Significant/Moderate (3)	3 GREEN	6 YELLOW	9 YELLOW	12 AMBER	15 AMBER
Marginal/Minor (2)	2 GREEN	4 YELLOW	6 YELLOW	8 YELLOW	10 AMBER
Negligible (1)	1 GREEN	2 GREEN	3 GREEN	4 YELLOW	5 YELLOW
	Very Low/Rare (1)	Low/Unlikely (2)	Low to High/Possible (3)	High/Likely (4)	Very High/Almost Certain (5)

Key

Green	Controls are working effectively
Yellow	Controls require further development
Amber	Significant controls not operating effectively
Red	Significant controls do not exist or have broken down