

Action Points Update from Angus Health and Social Care Integration Joint Board Audit Committee

Complete	On Target	Overdue	Separate Resolution
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Current Actions

MEETING	ACTION POINT	RESPONSIBILITY	PROGRESS	Timeline
12 December 2018	Item 6 – Recommend to the IJB that Section 1.1 of the Standing Orders be amended to increase membership of Audit Committee from 6 to 7, and that an additional advisory officer be appointed to the Audit Committee	Chief Finance Officer	To be progressed via report to IJB re Audit Committee constitution.	August 2019
	Item 6 – Remit of Audit Committee to be further reviewed once the finance support arrangements were in place	Chief Finance Officer	To be progressed via report to IJB re Audit Committee constitution.	August 2019
	Item 6 – Recommend to the IJB that the IJB’s Chief Internal Auditor and External Auditor be permitted to submit reports directly to the Audit Committee	Chief Finance Officer	To be progressed via report to IJB re Audit Committee constitution.	August 2019
	Item 7 – Consideration to be given to providing a joint development session to the IJB’s partner agencies	Chief Officer	Under consideration.	December 2019
29 August 2018	Item 3 – Action Points Log to be prepared and provided to future meetings	Chief Finance Officer	Ongoing – completed	Completed
	Item 7(iii) – Updates regarding management actions arising from the External Auditor’s Annual Report for Angus IJB to be reported to future Audit Committee meeting	Chief Finance Officer	See IJB Audit Committee report 102/18	Completed
	Item 9(ii) – Updates on the Governance Action Plan to be submitted to all future meetings of the Angus IJB Audit Committee until agreed otherwise.	Chief Finance Officer	Ongoing	For IJB Audit Committee meeting on 24 April 2019 (but no longer track as discrete plan as included in Internal Audit Follow Up Report or Audit Committee Action Points).

MEETING	ACTION POINT	RESPONSIBILITY	PROGRESS	Timeline
29 August 2018	Item 10(ii) – Angus IJB Internal Auditors to proceed with implementation of the approved Audit Plan, and Chief Finance Officer, in conjunction with Internal Audit, to report back as required to the Audit Committee regarding progress of that Plan	Internal Auditors Chief Finance Officer	See IJB Audit Committee report 98/18	Completed
27 June 2018	Item 4(iii) - Update requested on progress against actions from the Clinical, Care & Professional Governance Audit AN05/18	Chief Finance Officer	Some actions still outstanding but all reported in consolidated Internal Audit Follow Up report	For IJB Audit Committee meeting on 24 April 2019 (but no longer track as discrete action point as included in Internal Audit Follow Up Report).
	Item 4(iv) - Reports regarding Clinical, Care & Professional Governance should be submitted to the main IJB twice per annum in line with Performance reporting	Head of Service – Clinical Care & Professional Governance	Now included as discrete section in half-yearly Performance reports to IJB meeting.	Completed
	Item 5(ii) - Update requested on progress against actions from the Financial Management (Adult Services) follow-up audit undertaken in 2017/18	Chief Finance Officer	Some actions still outstanding but all reported in consolidated Internal Audit Follow Up report	For IJB Audit Committee meeting on 24 April 2019 (but no longer track as discrete action point as included in Internal Audit Follow Up Report).
	Item 7(ii) - Compilation of a governance action plan to respond to the issues raised in the Annual internal Audit Report	Chief Finance Officer	Complete – To August 2018 Audit Committee (Report 71/18)	Completed
	Item 8(ii) - Chair of IJB Audit Committee to write to both Angus Council and NHS Tayside confirming the adequacy and effectiveness of governance arrangements within Angus IJB for 2017/18	Chief Finance Officer	Letters of assurance sent to Angus Council & NHS Tayside on 8 August 2018	Completed
	Item 8(iii) - Governance Action Plan to be developed for 2018/19	Chief Finance Officer	Action Plan submitted to IJB Audit Committee meeting on 29 August 2018	Completed
	Item 9(ii) - Confirmation requested of the submission of the unaudited Annual Accounts to the IJB's External Auditors	Chief Finance Officer	Complete – Audited Accounts approved August 2018	Completed
	Item 12(ii) - Officers requested to consider including additional narrative to the Corporate Risk Register Rating Matrix	Chief Finance Officer	Discussion regarding all major risk documented in Clinical care and Professional Governance meeting minutes.	Completed