



# **ANGUS HEALTH & SOCIAL CARE PARTNERSHIP**

## **Corporate Strategic Risk Management Register**

January 2018 – December 2019

# Angus Health & Social Care Partnership – Corporate Strategic Risk Rating Matrix (October 2019)

No	Risk Title	Risk Owner	Risk Exposure – no controls	19 Jan 2018	14 Mar 2018	30 May 2018	25 July 2018	13 Sept 2018	5 Dec 2018	23 Jan 2019	13 March 2019	12 June 2019	7 Aug 2019	28 Oct 2019	4 Dec 21019	Status	Planned Risk Exposure
1.	Sustainability of Primary Care Services	Chief Officer (VI)	25 (5x5) RED	20 (5x4) RED	20 (5x4) RED	25 (5x5) RED	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER	20 (5x4) RED	20 (5x4) RED	20 (5x4) RED	20 (5x4) RED		↔	9 (3x3) YELLOW
2.	Prescribing Management	Clinical Director (AC)	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	20 (4x5) RED	20 (4x5) RED	20 (4x5) RED	20 (5x4) RED	20 (5x4) RED	16 (4x4) AMBER		↑	9 (3x3) AMBER
3.	Financial Management	Chief Officer (VI)	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	25 (5x5) RED	20 (4x5) RED	20 (4x5) RED	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER		↔	12 (4x3) AMBER
7.	Performance Management	Head of Community Health & Care Services (North Angus) (GS)	20 (5x4) RED	12 (4x3) AMBER	9 (3x3) YELLOW	9 (3x3) YELLOW	9 (3x3) YELLOW	9 (3x3) YELLOW	12 (4x3) AMBER	12 (4x3) AMBER	12 (4x3) AMBER	12 (4x3) AMBER	12 (4x3) AMBER	12 (4x3) AMBER		↔	8 (2x4) YELLOW
8.	Workforce Optimisation	Head of Mental Health Services (BT)	9 (3x3) YELLOW	12 (4x3) AMBER	12 (4x3) AMBER	12 (4x3) AMBER	12 (4x3) AMBER	12 (4x3) AMBER	12 (4x3) AMBER	20 (4x5) RED	20 (4x5) RED	20 (4x5) RED	20 (4x5) RED	20 (4x5) RED		↔	6 (2x3) YELLOW
10.	Compliance with GDPR and Data Protection Act 2018	Clinical Director (AC)	20 (4x5) RED				20 (4x5) RED	20 (4x5) RED	20 (4x5) RED	16 (4x4) AMBER	16 (4x4) AMBER	16 (4x4) AMBER	9 (3x3) YELLOW	9 (3x3) YELLOW	4 (2x2) GREEN	↑	2 (1x1) GREEN
11.	Commissioned Service Provider Failure	Head of Community Health & Care Services (South Angus) (GB)	12 (3x4) AMBER							12 (3x4) AMBER	12 (3x4) AMBER	12 (3x4) AMBER	12 (3x4) AMBER	12 (3x4) AMBER		↔	8 (2x4) YELLOW
12.	European Union (EU) Withdrawal	Head of Mental Health Services (BT)	9 (3x3) YELLOW								9 (3x3) YELLOW	9 (3x3) YELLOW	9 (3x3) YELLOW	16 (4x4) AMBER		↓	6 (3x2) YELLOW
13	Insufficient Corporate Support Capacity	Head of Community Health & Care Services (South Angus) (GB)	20 (5x4) RED											20 (4x5) RED		↔	12 (4x3) AMBER

## Risk Exposure Rating

Critical/Extreme (5)	5 YELLOW	10 AMBER	15 AMBER	20 RED	25 RED
Major (4)	4 YELLOW	8 YELLOW	12 AMBER	16 AMBER	20 RED
Significant/Moderate (3)	3 GREEN	6 YELLOW	9 YELLOW	12 AMBER	15 AMBER
Marginal/Minor (2)	2 GREEN	4 YELLOW	6 YELLOW	8 YELLOW	10 AMBER
Negligible (1)	1 GREEN	2 GREEN	3 GREEN	4 YELLOW	5 YELLOW
	Very Low/Rare (1)	Low/Unlikely (2)	Low to High/Possible (3)	High/Likely (4)	Very High/Almost Certain (5)

## Key

Green	Controls are working effectively
Yellow	Controls require further development
Amber	Significant controls not operating effectively
Red	Significant controls do not exist or have broken down

## Status

↑	Improvement in level of risk exposure
↔	Same level of risk exposure
↓	Increased level of risk exposure

## Archived Risks

Risk Number	Risk Title	Date Archived
Risk 9	Complex and Co-existing conditions	August 2019
Risk 4	Enhanced Community Support	June 2019
Risk 1a	Sustainability of Out of Hours Service ( <i>moved to Operational Service Risk</i> )	December 2018
Risk 5	Clinical, Care & Professional Governance	March 2018
Risk 6	Implementation of Strategic Planning Priorities	March 2018