



ANGUS HEALTH AND SOCIAL CARE

INTEGRATION JOINT BOARD AUDIT COMMITTEE – 24 JUNE 2020

INTERNAL AUDIT – RISK MANAGEMENT

REPORT BY ALEXANDER BERRY, CHIEF FINANCE OFFICER

ABSTRACT

To update Audit Committee members on the outcomes of the Internal Audit report regarding the review of Risk Management.

1. RECOMMENDATIONS

It is recommended that the Integration Joint Board Audit Committee:-

- (i) note the Internal Audit review of Risk Management (draft final report) ;
- (ii) agree to the associated draft management response/action and ask for an update on progress against actions at future Audit Committee meetings; and
- (iii) request that if the final version of this report is further materially amended then the final version is shared with Audit Committee members at a future meeting.

2. BACKGROUND

2.1 The IJB's 2017/18 Annual Internal Audit plan originally included a review of Risk Management. However for a variety of reasons this assignment was deferred, partly reflecting dynamic issues regarding Risk Management. This assignment was then reviewed and re-confirmed as a priority for the 2019/20 Internal Audit Plan and this report represents the outcome of the audit process.

2.2 The outcome of this Internal Audit is report AN05/20 now attached at Appendix 1. The report notes..."we would commend the IJB for its progress to date and the priority given to Risk Management and we have concluded that the IJB has made good progress and that an adequate risk management framework is in place."

2.2 Most Internal Audits contain a series of Internal Audit recommendations and related management responses. A number of issues are highlighted in this report with draft management responses attached. Due to COVID-19 related capacity issues, these management responses have not yet been finalised within the IJB. However they are included here to give a sense of the likely final response. A finalised management response will be concluded with Internal Audit in due course and should this result in any material refinement of these management responses this will be shared with the Audit Committee.

It is recommended these the draft management responses are agreed to for now, with an update regarding progress of all actions brought back to future Audit

Committee meetings (alongside updates regarding other Internal Audit report outstanding actions).

2.3 It is worth noting that regional work is ongoing to update the Health & Social Care Integration (HSCI) Risk Policy & Strategy, led by Chief Finance Officer, Dundee Integration Joint Board.

3. REPORT DISTRIBUTION

Reflecting previous discussions, future covering reports will set out the intended distribution of final Internal Audit reports. This does not affect the Audit Committee feedback.

Internal Audit Report Distribution – AN05/20 – Risk Management

Distributed to	By Whom
NHS Tayside and Angus Council Audit Leads	Chief Finance Officer
Angus HSCP Executive Management Team	Chief Finance Officer
Angus Clinical Care and Professional Governance Forum	Chief Finance Officer

4. CONCLUSION

The Audit Committee is requested to note the Internal Audit report regarding Risk Management and to agree to the associated draft management responses/action and ask for an update on progress against actions at future Audit Committee meetings.

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List of Appendices:

Appendix 1:- Angus IJB Internal Audit Service Risk Management Report AN05/20