



ANGUS HEALTH AND SOCIAL CARE AUDIT COMMITTEE

ANGUS ANNUAL RISK MANAGEMENT REPORT 2019/20

REPORT BY GAIL SMITH, INTERIM CHIEF OFFICER

ABSTRACT

To provide the Angus Integration Joint Board Audit Committee with a report in relation to Risk Management activities which have been undertaken during 2019/20.

1. RECOMMENDATIONS

It is recommended that the Integration Joint Board Audit Committee:-

- i) note the contents of Angus HSCP Annual Risk Management Report 2019/20 (Appendix 1)
- ii) recommend any further action it considers necessary

2. BACKGROUND

Members of the IJB are responsible for:-

- oversight of the IJB risk management arrangements
- receipt, review and scrutiny of reports on corporate strategic risks and any key operational risks
- ensuring they are aware of any risks linked to recommendations from the Chief Officer concerning new priorities/policies.

Within the Angus Health & Social Care Partnership (HSCP) the Integration Joint Board (IJB) Audit Committee has delegated responsibility from the IJB for ensuring all relevant strategic and operational risks are accurately identified, assessed, evaluated, recorded and monitored.

3. CURRENT POSITION

The Chief Officer, as Accountable Officer, has responsibility for maintaining a sound system of Internal Control and reviewing the effectiveness of the risk management system within the organisation, facilitating the preparation of an annual Governance Statement. In addition, IJB's are subject to the requirements of the Scottish Public Finance Manual and must operate a risk management strategy.

Angus IJB has an approved Risk Management Policy and Strategy in place and the IJB monitors a series of corporate strategic risks using agreed methodologies. The risks monitored include service, financial, clinical care and professional governance, performance management and workforce risks. The scrutiny and management of risks is devolved to the Angus HSCP Clinical, Care and Professional Governance Forum, chaired by the Health & Social Care Partnership's Clinical Director. Monitoring of risk performance is undertaken on a bi-monthly basis with an overview provided to Executive Management Team, NHS Tayside Clinical Quality Forum and information included in the 6 monthly performance reports to IJB.

The presentation of this report on an annual basis to the AIJB's Audit Committee aims to demonstrate that arrangements for Risk Management are in place. This framework comprises robust governance, strategy, structure, policies and procedures based on sound risk management principles appropriate to integrated working. This will provide assurance that there are systems in place to manage risk throughout the Angus HSCP.

During 2019/20 an Internal Audit review of Risk Management was concluded (AN05/20). This report resulted in a series of agreed management actions that will be progressed locally and reported back through the Audit Committee. This did include undertaking a review of the IJB's Risk Policy and Strategy. It is reasonable to note that some of the actions may take longer to progress than originally envisaged due to capacity issues caused by managing COVID-19 responses.

Monitoring of corporate strategic risk performance has been undertaken on a regular basis during 2019/20 through the Care, Clinical and Professional Governance Group.

Routinely the expectation is this report would be submitted to the June Audit Committee, but this was deferred in 2020 due to COVID-19 related issues.

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Appendix 1 – Angus Health & Social Care Partnership – Angus HSCP Annual Risk Management Report 2019/20