Action Points Update from Angus Health and Social Care Integration Joint Board Audit Committee

Complete	On Target	Overdue	Separate Resolution

Current Actions

MEETING	ACTION POINT	RESPONSIBILITY	PROGRESS	Timeline
26 August 2020	Item 7 - Updates regarding management actions from the External Auditors Annual report are reported to the December 2020 Audit Committee.	Chief Finance Officer	Complete - Report to December 2020 Audit Committee.	December 2020
	Item 11 – Annual Internal Audit Plan (AN04/21) Agreed to allocate some of the resources in this Internal Audit assignment to consider workforce issues.	Chief Finance Officer/ Chief Internal Auditor	Assignment AN04/21 now underway, but workforce not yet considered.	April 2021
	Item 12 - Final version of the Data Quality Audit Report be shared with the Audit Committee members at a future meeting.	Chief Finance Officer	Complete – Included in Internal Audit Plan Update report to December 2020 Audit Committee.	December 2020
	Item 13 – Share the Annual Risk Management report.	Chief Officer	Complete - Report to December 2020 Audit Committee.	December 2020
24 June 2020	Item 5 – Internal Audit Charter to be re-approved annually as part of approval of Annual Internal Audit Plan.	Chief Finance Officer	Next review June 2021.	June 2021
	Item 8 – Chief Officer to write to Partners to facilitate the expedition of outstanding audit recommendation re Corporate Support Services.	Chief Officer	Complete – Letter issued to NHS Tayside and Angus in Nov'20. Noted unrealistic to expect progress in 2020/21 but that this remains a priority for Angus IJB.	October 2020
	Item 10 - Chief Finance Officer to progress Principle 4 (a) Governance requirement – " Ensure there is a line of professional accountability to the CFO for finance staff throughout the authority."	Chief Finance Officer	Discussions on going with Angus Council and progress subject to those discussions.	December 2020
	Item 10 - Chief Finance Officer should review and ensure improved financial management training available to all managers.	Chief Finance Officer	Not actioned yet.	December2020

MEETING	ACTION POINT	RESPONSIBILITY	PROGRESS	Timeline
28 August 2019	Item 7 – To share Perth and Kinross and Dundee IJB Annual Internal Audit report (2018/19).	Chief Finance Officer	Partially complete. To be added to future "External Reports" report. Perth info received, Info requested from Dundee (November 2020).	December 2020
26 June 2019	Item 7 – CO to make the necessary arrangements to progress induction and development sessions for new members of the Integration Joint Board and Integration Joint Board Audit Committee.	Chief Officer	Still under development with induction documentation now shared with sample of Board members for review.	Was December 2019, now October 2020.
	Item 10 - CFO would explore sharing information within the "External Reports" report with IJB Board members.	Chief Finance Officer	Preliminary proposal included in December 2020 Audit Committee reports.	Was October 2019.
24 April 2019	**Item 8 – The main areas recommended for improvement as part of the self-assessment exercise were.**			
	1) Reviewing the breadth of the role of the Audit Committee.	Chief Finance Officer	Constitution reviewed February 2020. A future review of breadth of role reflected in Audit Committee work plan.	Revised to October 2020. Still being considered as part of broader review of Performance functions.
	2) The need to review the knowledge and skills of Audit Committee members and the option to include Independent members.	Chief Finance Officer	Considered at October 2019 Development Session. Plan to revisit.	Was October 2019. Currently TBC but noting October 2020 Audit Committee Development session deferred.
	3) Reflecting levels of Audit Committee membership turnover, the need to augment annual development sessions with additional training resources to assist new members.	Chief Finance Officer	Previously to be considered at October 2019 and Oct 2020 Development Session. Still requires development.	Was October 2019. Currently TBC but noting October 2020 Audit Committee Development session deferred.