



AGENDA ITEM NO.9

REPORT NO. IJB 28/21

ANGUS HEALTH AND SOCIAL CARE

INTEGRATION JOINT BOARD AUDIT COMMITTEE – 23 JUNE 2021

ANGUS ANNUAL RISK MANAGEMENT REPORT 2020/21

REPORT BY GAIL SMITH, INTERIM CHIEF OFFICER

ABSTRACT

To provide the Angus Integration Joint Board Audit Committee with a report in relation to Risk Management activities which have been undertaken during 2020/21.

1. RECOMMENDATIONS

It is recommended that the Integration Joint Board Audit Committee:-

- (i) note the contents of Angus HSCP Annual Risk Management Report 2020/2 (Appendix 1);
- (ii) recommend any further action it considers necessary.

2. BACKGROUND

Members of the IJB are responsible for:-

- oversight of the IJB risk management arrangements
- receipt, review and scrutiny of reports on corporate strategic risks and any key operational risks
- ensuring they are aware of any risks linked to recommendations from the Chief Officer concerning new priorities/policies.

Within the Angus Health & Social Care Partnership (HSCP) the Integration Joint Board (IJB) Audit Committee has delegated responsibility from the IJB for ensuring all relevant strategic and operational risks are accurately identified, assessed, evaluated, recorded and monitored.

3. CURRENT POSITION

The Chief Officer, as Accountable Officer, has responsibility for maintaining a sound system of Internal Control and reviewing the effectiveness of the risk management system within the organisation, facilitating the preparation of an Annual Governance Statement. In addition, IJB's are subject to the requirements of the Scottish Public Finance Manual and must operate a risk management strategy.

Angus IJB has a recently approved Risk Management Strategy in place (see report 6/21, IJB April 2021). The IJB monitors a series of corporate strategic risks using agreed methodologies. The risks monitored include service, financial, clinical care and professional governance, performance management and workforce risks. The scrutiny and management of risks is devolved to the Angus HSCP Clinical, Care and Professional Governance Forum, chaired by the Health & Social Care Partnership's Clinical Director. Monitoring of risk performance is undertaken on a bi-monthly basis with an overview provided to Executive Management Team and NHS Tayside Care Governance Committee.

The presentation of this report on an annual basis to the AIJB's Audit Committee aims to demonstrate that arrangements for Risk Management are in place.

This framework comprises robust governance, strategy, structure, policies and procedures based on sound risk management principles appropriate to integrated working. This will provide assurance that there are systems in place to manage risk throughout the Angus HSCP.

During 2019/20 an Internal Audit review of Risk Management was concluded (AN05/20). Actions from this continue to be progressed, with some delay due to COVID-19. In addition, in April 2021, the IJB updated its Risk Management Strategy and there are a small number of impending actions still to be concluded – including the development of the IJB’s Risk Appetite.

Monitoring of corporate strategic risk performance has been undertaken on a regular basis during 2020/21 through the Care, Clinical and Professional Governance Group.

4. PROPOSALS

The IJB Audit Committee are asked to note the report and recommend any further action it considers necessary.

5. FINANCIAL IMPLICATIONS

No new financial implications from this report. Any financial implications of managing documented risks will be reported separately.

6. RISK

No new risk other than the ones described in this report.

7. OTHER IMPLICATIONS (IF APPLICABLE)

N/A

8. EQUALITY IMPACT ASSESSMENT

An Equality Impact Assessment is not required.

9. DIRECTIONS

The Integration Joint Board requires a mechanism to action its strategic commissioning plans and this is provided for in Section 26 to 28 of the Public Bodies (Joint Working) (Scotland) Act 2014. This mechanism takes the form of binding directions from the Integration Joint Board to one or both of Angus Council and NHS Tayside.

Direction Required to Angus Council, NHS Tayside or Both	Direction to:	
	No Direction Required	X
	Angus Council	
	NHS Tayside	
	Angus Council and NHS Tayside	

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