

Action Points Update from Angus Health and Social Care Integration Joint Board Audit Committee

Complete	On Target	Overdue	Separate Resolution
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Current Actions

MEETING	ACTION POINT	RESPONSIBILITY	PROGRESS	Timeline
21 April 2021	Item 7 – Clarity requested regarding future development session regarding risk appetite.	Chief Finance Officer	A Risk Appetite session will be arranged in due course as part of overall implementation of new Risk Strategy (approved by the IJB April 2021).	September 2021
	Item 7 – Put in place arrangements for an annual private meeting of Audit Committee member and Internal and External Auditors.	Chief Finance Officer	Meeting now scheduled for September 2021 and built into annual work plans.	Complete
	Item 10 – With regard to the Independent Review of Adult Social Care in Scotland, the Carers representative requested a copy of the response.	Chief Officer	Chief Officer indicated that a copy of the draft response to the national group would be provided to the Carer's Representative in due course	Complete
9 December 2020	Item 9 External reports - information set to be available via MST channels to Audit Committee Members and expanded to whole IJB Membership	Chief Finance Officer	Paper planned for Audit Committee in April 2021 but delayed to June 2021 with final report post-evaluation to June 2021 Audit Committee.	Report to June 2021 Audit Committee
26 August 2020	Item 11 – Annual Internal Audit Plan (AN04/21) Agreed to allocate some of the resources in this Internal Audit assignment to consider workforce issues.	Chief Finance Officer/ Chief Internal Auditor	Assignment AN04/21 reported to June 2021 Audit Committee with limited workforce reference. 2021/22 Audit Plan to June 2021 Audit Committee and plan does not prioritise workforce. This issue could therefore deem to be superseded.	See reports to June 2021 Audit Committee
24 June 2020	Item 5 – Internal Audit Charter to be re-approved annually as part of approval of Annual Internal Audit Plan.	Chief Finance Officer	Next review June 2021.	Report to June 2021 Audit Committee

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	Item 10 - Chief Finance Officer to progress Principle 4 (a) Governance requirement – “ Ensure there is a line of professional accountability to the CFO for finance staff throughout the authority.”	Chief Finance Officer	Discussions still ongoing with Angus Council and progress subject to those discussions. Written proposals have now (June 2021) been approved by Angus IJB Chief Officer and further details still under discussion with Angus Council.	Was December 2020, now September 2021.
	Item 10 - Chief Finance Officer should review and ensure improved financial management training available to all managers.	Chief Finance Officer	Not actioned yet. Delayed due to COVID-19. Planning for training commenced June 2021.	December 2020, now Autumn 2021 noting impact of COVID-19 on capacity.
26 June 2019	Item 7 – CO to make the necessary arrangements to progress induction and development sessions for new members of the Integration Joint Board and Integration Joint Board Audit Committee.	Chief Officer	Materials now issued and induction session arranged for June 2021. Long term arrangements to be embedded in due course.	Was December 2019, now due for conclusion end of June 2021
	Item 10 - CFO would explore sharing information within the “External Reports” report with IJB Board members.	Chief Finance Officer	Preliminary proposal included in December 2020 Audit Committee reports with final report post-evaluation to June 2021 Audit Committee.	Report to June 2021 Audit Committee
24 April 2019	Item 8 – The main areas recommended for improvement as part of the self-assessment exercise were.			
	1) Reviewing the breadth of the role of the Audit Committee.	Chief Finance Officer	Constitution reviewed February 2020. A future review of breadth of role being considered as part of broader review of Performance functions.	Revised to October 2020 & February 2021. Still being considered as part of broader review of Performance functions.
	2) The need to review the knowledge and skills of Audit Committee members and the option to include Independent members.	Chief Finance Officer	Considered at October 2019 Development Session. Plan to revisit.	Was October 2019. Currently TBC but noting impact of COVID-19 on capacity.
	3) Reflecting levels of Audit Committee membership turnover, the need to augment annual development sessions with additional training resources to assist new members.	Chief Finance Officer	Previously to be considered at October 2019 and 2020/21 Development Session. Still requires development.	Was October 2019. Currently TBC but noting impact of COVID-19 on capacity.