

Action Points Update from Angus Health and Social Care Integration Joint Board Audit Committee

Complete	On Target	Overdue	Separate Resolution
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Current Actions

MEETING	ACTION POINT	RESPONSIBILITY	PROGRESS	Timeline
23 June 2021	Item 6 GOVERNANCE ACTIONS PLAN Actions 5 and 6 of the 2018/19 Annual Internal Audit Report as detailed in Appendix 1 to the Report, be marked as superseded.	Chief Officer	Strategic Plan issues being monitored within the IJB after the April IJB report (IJB 5/21), progress continues to be monitored. Suggested update to IJB Audit Committee December 2021.	December 2021
23 June 2021	Item 9 ANGUS ANNUAL RISK MANAGEMENT REPORT 2020/21 Chief Officer to provide an interim mid year summarised Angus Risk Management Report to the IJB Audit Committee meeting in December 2021.	Chief Officer	On Target	December 2021
23 June 2021	Item 10 2020/21 ANNUAL INTERNAL AUDIT REPORT			
	An update on responses to the Internal Audit Report to be provided to the Audit Committee at their next meeting.	Chief Finance Officer	Report to September 2021 Audit Committee	September 2021
	The Chief Internal Auditor provided an update and indicated that he would reconsider re-grading from significant to moderate.	Chief Internal Auditor	Report to September 2021 Audit Committee	September 2021
	IJB Management teams consider Annual Internal Audit Report in more detail and provide an update to the IJB Audit Committee.	Chief Finance Officer	Report to September 2021 Audit Committee	September 2021

MEETING	ACTION POINT	RESPONSIBILITY	PROGRESS	Timeline
23 June 2021	Item 11 2020/21 ANGUS INTEGRATION JOINT BOARD ANNUAL GOVERNANCE STATEMENT			
	IJB Chair to write to Angus Council, NHS Tayside; Dundee and Perth & Kinross IJBs confirming the adequacy and effectiveness of the governance arrangement within Angus IJB for 2021	Chief Finance Officer	Letters issues	Complete
	The Chief Finance Officer seek assurances from NHS Tayside, Angus Council; Dundee and Perth & Kinross IJBs prior to the next IJB Audit Committee regarding reliance being placed on systems of control within these organisations in the context of the impact on the Angus IJB.	Chief Finance Officer	Report to September 2021 Audit Committee	September 2021
23 June 2021	Item 12 UNAUDITED ANNUAL ACCOUNTS Confirm the submission of the Unaudited Annual Accounts to the IJB's External Auditors.	Chief Finance Officer	Submitted 29 June 2021	Complete
23 June 2021	Item 14 EXTERNAL REPORTS Expansion of the membership of the External Reports MST Channel to include all IJB members.		New process in place. Completion of roll out to be confirmed.	December 2021
21 April 2021	Item 7 – Clarity requested regarding future development session regarding risk appetite.	Chief Finance Officer	A Risk Appetite session will be arranged in due course as part of overall implementation of new Risk Strategy (approved by the IJB April 2021). Risk appetite development session was held in August 2021	Complete
26 August 2020	Item 11 – Annual Internal Audit Plan (AN04/21) Agreed to allocate some of the resources in this Internal Audit assignment to consider workforce issues.	Chief Finance Officer/ Chief Internal Auditor	Assignment AN04/21 reported to June 2021 IJB Audit Committee with limited workforce reference. 2021/22 Audit Plan to June 2021 IJB Audit Committee and plan does not prioritise workforce. This issue could therefore deem to be superseded.	Superseded

	Item 10 - Chief Finance Officer to progress Principle 4 (a) Governance requirement – “ Ensure there is a line of professional accountability to the CFO for finance staff throughout the authority.”	Chief Finance Officer	Discussions still ongoing with Angus Council and progress subject to those discussions. Written proposals approved by AIJB CO June 21 final details still under discussion with Angus Council.	Was December 2020, now September 2021 AMBER.
	Item 10 - Chief Finance Officer should review and ensure improved financial management training available to all managers.	Chief Finance Officer	Not actioned yet. Delayed due to COVID-19. Planning for training commenced June 2021.	Was December 2020, now Autumn 2021 noting impact of COVID-19 on capacity.
26 June 2019	Item 7 – CO to make the necessary arrangements to progress induction and development sessions for new members of the Integration Joint Board and Integration Joint Board Audit Committee.	Chief Officer	Materials now issued and induction session arranged for June 2021. Long term arrangements to be embedded in due course. Not yet complete.	Was December 2019, now due for conclusion end of June 2021.
24 April 2019	Item 8 – The main areas recommended for improvement as part of the self-assessment exercise were.			
	1) Reviewing the breadth of the role of the Audit Committee.	Chief Finance Officer	Constitution reviewed February 2020. A future review of breadth of role being considered as part of broader review of Performance functions.	Revised to October 2020 & February 2021. Still being considered as part of broader review of Performance functions.
	2) The need to review the knowledge and skills of Audit Committee members and the option to include Independent members.	Chief Finance Officer	Considered at October 2019 Development Session. Plan to revisit.	Was October 2019. Currently TBC but noting impact of COVID-19 on capacity.
	3) Reflecting levels of Audit Committee membership turnover, the need to augment annual development sessions with additional training resources to assist new members.	Chief Finance Officer	Previously to be considered at October 2019 and 2020/21 Development Session. Still requires development.	Was October 2019. Currently TBC but noting impact of COVID-19 on capacity.