

ANGUS COUNCIL

SCRUTINY AND AUDIT COMMITTEE – 25 JANUARY 2022

DETAILED RISK REPORTING TO SCRUTINY AND AUDIT COMMITTEE

REPORT BY CATHIE WYLLIE – SERVICE LEADER INTERNAL AUDIT

ABSTRACT

This report presents detailed risk information to the Scrutiny and Audit Committee in line with proposals agreed by the Scrutiny and Audit Committee in August 2021 (Report 256/21 refers).

1. RECOMMENDATIONS

It is recommended that Committee:

- (i) Scrutinise and note the information presented about the Health and Safety risk.
- (ii) Decide which risk should be presented in March 2022: the Climate Change risk from the original programme, or the new Data Migration risk.

2. ALIGNMENT TO THE COUNCIL PLAN

The contents of this report, and the related presentation provide the Committee with a deeper understanding of a key corporate risk that may prevent achievement of the Council Plan, and the steps being taken to mitigate the risk.

3. BACKGROUND

3.1 It was agreed that individual risk presentations would be made to Scrutiny and Audit Committee meetings in line with an agreed programme.

3.2 The following timetable for 2021/22 is based on the Corporate Risk Register at August 2021. This covers one risk that was new at January 2021, and the three highest scoring risks which are all red.

S&A meeting date	Lead officer	Risk to be presented	Risk score August	Risk Score December	Risk Target
24 August 2021	Director of Finance	Financial Sustainability	16	20	9
23 September 2021	Director of HR, Digital Enablement, IT & Business Support	IT resilience & Cyber-attack (Business Continuity)	16	16	8
30 November 2021	Chief Executive	Pandemic - Covid-19	20	20	15
25 January 2022	Depute Chief Executive	Health & Safety	12	12	6
1 March 2022	Director of Vibrant Communities and Sustainable Growth	Climate change (New)	9	9	6

- 3.3 Risk scores were reviewed in December 2021 and are being reported to this January 2022 committee under a separate agenda item. The Financial Sustainability risk has been re-scored, but as this was discussed with the committee in August 2021 it is not proposed that this risk be discussed in March 2022. There are no other changes in risk scores. One new risk has been identified. – Data Migration, with a risk score of 20 and a target score of 5.

4. CURRENT POSITION

- 4.1 There will be a short presentation on the Health & Safety risk. The related information from the Corporate Risk Register is included in Appendix 1.
- 4.2 A decision is required about the risk to be presented at the committee meeting in March 2022. Should the risk presented be the Climate Change risk from the original programme, or the new Data Migration risk?

5. FINANCIAL IMPLICATIONS

- 5.1 There are no financial implications.

6. EQUALITY IMPACT ASSESSMENT

- 6.1 An Equality Impact Assessment is not required, as this report does not impact on people. It does not impact on people because this report provides information about risks and their mitigation. Any people impact would be dealt with at other active stages of mitigation if applicable.

Background Papers

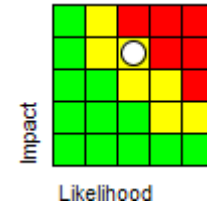
NOTE: No background papers as defined by Section 50D of the Local Government (Scotland) Act 1973 (other than any containing confidential or exempt information) were relied on to any material extent in preparing this report.

Author Reference

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Appendix 1 - Corporate Risk Register – Health & Safety

Appendix 1



Risk Title

CORRR0020 Health & Safety Compliance

Risk Description

The council does not fully comply with Health & Safety at Work legislation.

Likelihood

Gaps for improvement have been identified through a corporate gap analysis and peer review. Both have identified similar themes and disparities which require to be addressed as a priority.

Potential Impact





The council fails to comply with Health and Safety at Work legislation leading to enforcement by the Health & Safety Executive.

Existing Controls

Corporate Action Plan in place	
Reviewed health & safety policy and arrangements in place	
Provision of risk assessment guidance, templates and training	
Competent and qualified health & safety staff	
Health & safety service action plan	
Risk assessments	
Training	
Occupational health surveillance provided	In partnership with PAM Assist
Assure digital management system launched.	

Risk Likelihood Score: 3
Risk Impact Score: 4
Overall Risk Score: 12

Additional Controls/Actions to Reduce Likelihood and/or Impact Scores

Controls/Actions		Due Date	Status	Desired Outcome	Owner	Latest Update	Date
AC-COR-00022	Review of risk assessment guidance, templates and training	31-Dec-2022	 In progress	Compliance with legislation	Manager - Risk, Resilience & Safety	EastScot (PKC / Clacks / Angus Safety Teams) development plan in place to complete 33 pieces of topic specific arrangements, guidance and associated hazards requiring statutory controls. Ongoing work impacted by COVID/Severe weather/Resource.	20-Dec-2021
AC-COR-00023	Delivery of corporate action plan and agreed strategic objectives for 2020/21	31-Mar-2022	 In progress	Compliance with legislation	Manager - Risk, Resilience & Safety	Corporate action plan monitored, reviewed and updated on an ongoing basis. The pandemic has had an impact on taking some of these actions forward and these are now being progressed on a priority basis.	20-Dec-2021
AC-COR-00045	Review of health & safety training to enable a training plan to be produced and implemented.	30-Jun-2022	 In progress	Training plan produced and implemented.	Manager - Risk, Resilience & Safety	Ongoing work with OD to consider options to design and delivering mandatory H&S training to meet audit benchmarking report. Note: training delivery impacted because of working from home; COVID; severe weather; resource; competencies. Funding in place to develop.	20-Dec-2021
AC-COR-00048	Develop the Performance Monitoring Record	31-Jul-2022	 In progress	Services enabled to identify if they are compliant with relevant H&S legislation	Safety Adviser	NEW: As part of ongoing EastScot Assure System group work the Performance Monitoring Record is under development.	20-Dec-2021

Target Likelihood: 2
Target Impact: 3
Overall Target Score: 6

Risk Owner:	Mark Armstrong, Depute Chief Executive
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Latest Update	By	Date
Work is ongoing to take forward the corporate health & safety action plan. No change in risk score required at this time.	Mark Armstrong	20 Dec 2021