

ANGUS COUNCIL

POLICY AND RESOURCES COMMITTEE

21 JUNE 2022

ANGUS HEALTH AND SOCIAL CARE DRAFT INTEGRATION SCHEME


REPORT BY MARGO WILLIAMSON, CHIEF EXECUTIVE

ABSTRACT

This report provides information on the outcome of public consultation on a draft revised Integration Scheme for Angus Integration Joint Board and seeks approval of the revised Integration Scheme for Angus to allow submission for final approval by Scottish Ministers as required by the Public Bodies (Joint Working) (Scotland) Act 2014.

1. RECOMMENDATIONS

It is recommended that committee:

- (i) note and have regard to the Consultation Summary  [Item 8 AHSCP Consultation Summary.docx](#) and the follow-up actions that have been addressed;
- (ii) approve the final Angus revised Integration Scheme attached as **Appendix 1** for submission to Scottish Ministers (subject to a reciprocal approval by NHS Tayside Board); and
- (iii) note that further technical changes may be required by the Scottish Government prior to approval by Scottish Ministers, and therefore delegate authority to the Chief Executive to address any such changes, in conjunction with the Chief Executive of NHS Tayside, before publication of the final Integration Scheme.

2. CURRENT POSITION

- 2.1 Report No. 101/22 provided information on the legal requirement in the Public Bodies (Joint Working) (Scotland) Act 2014 for local authorities and health boards to work together to plan and deliver adult community health and social care services. To achieve integration of health and social care the local authority and the NHS Health Board are required to have an Integration Scheme, that is reviewed every 5 years. Report No. 101/22 was considered at a special Meeting of Angus Council on 24 March 2022, when Council approved a draft revised Integration Scheme for Angus to go out for a period of formal consultation and noted that a report on the formal consultation and consequent impact on the draft revised Integration Scheme would be brought back to this meeting of Policy and Resources Committee for final approval before submission to Scottish Ministers.
- 2.2 The draft revised Angus Integration Scheme has been the subject of discussion and development between partners since July 2021. The draft document, which will also be used by Dundee IJB and Perth & Kinross IJB, has been informed and iteratively developed via a range of inputs from key staff from NHS Tayside, Angus Council, Dundee City Council and Perth & Kinross Council and a wide public consultation undertake jointly with the Dundee Integration Scheme.
- 2.2 The principles relating to the consultation and a summary list of the consultees is included in section 10 of the draft revised Integration Scheme. The consultation exercise sought comments on:
 - the respondents understanding of the document and any suggestions to improve its accessibility.
 - Any specific comments on the following sections:

- local operational management arrangements
- Chief Officer
- Clinical, Care and Professional Governance
- Finance
- Any other comments.

2.3 The online public consultation took place between 17 March and 24 April 2022. An analysis of the responses can be viewed in the Consultation Summary. [Item 8 AHSCP Consultation Summary.docx](#) The consultation related to both the Angus and Dundee Integration Schemes which are identical. The Perth and Kinross Integration Scheme consultation took place separately between 6 and 27 May due to some small variations in the content of the scheme compared to the Dundee and Angus schemes.

2.4 Many of the comments did not relate directly to the content of the Integration Scheme except in relation to comments on finance. Some comments were more related to considerations for strategic planning opportunities for service improvement, and communication. These comments have been shared with the respective Health and Social Care Partnerships in order that the Strategic Planning Groups can consider these suggestions. Some respondents identified the individuals responsible for operational management arrangements in the community, the Chief Officer and the Chief Finance Officer, these references are part of the redacted comments.

Of the respondents:

- 25% were employees of either Dundee City Council, Angus Council or NHS Tayside including nurses, allied health professionals, social workers, social care staff, support workers, Town Planner, clerical staff, and finance staff.
- 30% were users of health and/or social care services.
- 3% were independent providers of health and/or social care.
- 15% described themselves as 'other'.

1. Understanding the scheme

69% commented that they understood the content of the scheme. Comments in relation to improving peoples' understanding of the scheme ranged from the provision of more information about integration including identifying clearly who is responsible for what. Request for more use of plain English.

2. Local operational management

Comments ranged from describing the arrangements as top heavy to being very clear and concise. There were comments on the quality of communication between people working in different parts of the integrated system.

3. Role of the Chief Officer

Comments ranged from the description of the role being clear and concise to being unclear who held this role and again the quality of communication between parts of the integration system. It was clear that a number of respondents knew who the Chief Officer was as they were named in the response. There were no specific comments in the change to operational management arrangements in respect of mental health inpatients.

4. Clinical, Care and Professional Governance

Respondents raised issues relating to poor communication which need to be considered within the existing clinical care and professional governance arrangements. The section was described as limited but also the processes in place were described well.

5. Finance

This section drew the most comments. Those who responded were:

- Generally not supportive of the addition of the sentence in section 9.20 in respect of repayments as part of the approach to risk sharing. There were several responses to this issue including detailed comment about why it was not considered


appropriate by the respondent.

- Concern raised over large hospital set aside that do not work as described.
- Underfunding of mental health services.
- The definition of requisition should be reconsidered.

6. Other comments

There were a range of other comments from responders including:

- The need to improve communication generally
- The need for the Council and the NHS to demonstrate their commitment to integration and the need to deliver improvements in integrated working
- Concerns over staff morale
- The length of the document.

2.5 As a result of the consultation, changes have been made to the draft revised Integration Scheme aiming to further improve clarity and understanding. This includes rewording some sections, improving definitions and adding additional definitions. Some revisions have been made regarding the management of overspends in response to concerns raised through the consultation process which can be viewed in the Consultation Summary.  [Item 8 AHSCP Consultation Summary.docx](#)

3. PROPOSALS

It is proposed that Angus Council approve the final Draft Integration Scheme, note that further technical changes may be required following submission to the Scottish Government and therefore delegate authority to the Chief Executive of Angus Council (in conjunction with the Chief Executive of NHS Tayside) to approve these before publication of the final Scheme.

4. FINANCIAL IMPLICATIONS

4.1 There are no direct additional financial implications for the Council arising from the recommendations in this report but the Integration Scheme is the foundation upon which the financial relationship between the Council, Health Board and IJB operates and as such it is an important document for financial governance purposes. The revised Scheme strengthens those governance arrangements and provides some additional assurance for the Council in managing financial risks.

4.2 A number of changes were made to the Finance section of the Integration Scheme issued for consultation to update language and to reflect agreements that have developed over the period of the scheme. Changes were also made to further clarify the approach to the calculation of set aside and to further clarify the basis for calculation of proportionate contributions under the risk sharing agreement.

4.3 Based on consultation responses additional wording has been added to paragraph 9.20 of the Scheme to clarify how potential repayment of overspends by the IJB to the Health Board and Council would apply if that circumstance arose. This brings the Angus Integration Scheme into line with other Schemes across Scotland and provides an added layer of protection (which can be applied flexibly based on circumstances) for the Partners from financial risk.

5. OTHER IMPLICATIONS

None.

6. CONSULTATION

A proportionate joint consultation on this Scheme took place as detailed above. The following principles were agreed by the Parties and followed in respect of the consultation process:

- The views of all participants were valued
- It was transparent
- The results of the consultation exercise were published
- The draft scheme was published along with a side by side version including the original

- scheme, and comments were invited from members of the public
- It was the continuation of an on-going dialogue about integration.

The stakeholders consulted were:

- NHS Tayside Board
- Angus Council
- Dundee City Council
- Perth and Kinross Council
- Angus Integration Joint Board
- Dundee Integration Joint Board
- Perth & Kinross Integration Joint Board
- Health professionals
- Users of health care
- Carers of users of health care
- Commercial providers of health care
- Non-commercial providers of health care
- Social care professionals
- Users of social care
- Carers of users of social care
- Commercial providers of social care
- Non-commercial providers of social care
- Staff of NHS Tayside and Angus Council
- Union and staff representatives
- Non-commercial providers of social housing
- Third sector bodies carrying out activities related to health or social care
- General public including those with protected characteristics

A range of engagement methods were used to consult on the Scheme:

- Online questionnaires for all stakeholders across all partner platforms
- Online content and digital assets across all partners' social media signposting to the Scheme hosted on the Council, NHS Tayside and the HSCP websites
- Briefings with members of NHS Tayside Board, Elected Members of the Council and with the Integration Joint Board members.

7. EQUALITY IMPACT ASSESSMENT

A screening Equality Impact Assessment has been carried out and is attached.

NOTE: No background papers, as detailed by Section 50D of the Local Government (Scotland) Act 1973 (other than any containing confidential or exempt information) were relied on to a material extent in preparing the above report.

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List of Appendices:

Appendix 1: Angus Revised Integration Scheme



Equality Impact/Fairer Scotland Duty Assessment Form

(To be completed with reference to Guidance Notes)

Step 1

Name of Proposal (includes e. g. budget savings, committee reports, strategies, policies, procedures, service reviews, functions):

Revision of Angus Integration Scheme

Step 2

Is this only a **screening** Equality Impact Assessment

Yes/No

(A) If Yes, please choose from the following options **all** reasons why a full EIA/FSD is not required:

(i) It does not impact on people Yes/No

(ii) It is a percentage increase in fees which has no differential impact on protected characteristics Yes/No

(iii) It is for information only Yes/No

(iv) It is reflective e.g. of budget spend over a financial year Yes/No

(v) It is technical Yes/No

The report concerns the technical review of a legal agreement between Angus Council and NHS Tayside. Such a review is required every five years as set out in the Public Bodies (Joint Working) (Scotland) Act 2014. The content of the Integration Scheme is governed by SSI 2014 No. 341. The Integration Scheme is concerned with the arrangements for and governance of the Integration Joint board and the ongoing role of the Council and the NHS in integrated arrangements.

If you have answered yes to any of points above, please go to **Step 16**, and sign off the Assessment.

Step 15: Where will this Equality Impact/Fairer Scotland Duty Assessment be published?

Published alongside the committee report

Step 16: Sign off and Authorisation. Please state name, post, and date for each:

Prepared by: Vivienne Davidson, Principal Officer

Date:- 9 March 2022

Reviewed by: Doreen Phillips, Equalities Officer

Date:- 16 March 2022

Approved by: Gail Smith, Chief Officer

Date:- 16 March 2022

NB. There are several worked examples of separate EIA and FSD Assessments in the Guidance which may be of use to you.