

ANGUS COUNCIL

SCRUTINY AND AUDIT COMMITTEE – 23 JUNE 2022

PROPOSED DETAILED RISK REPORTING TO SCRUTINY AND AUDIT COMMITTEE

REPORT BY CATHIE WYLLIE – SERVICE LEADER INTERNAL AUDIT

ABSTRACT

This report presents the proposed programme of detailed risk information to be presented to the Scrutiny and Audit Committee from August 2022 to April 2023 and seeks Members' agreement to the proposals.

1. RECOMMENDATION

- (i) Agree, or propose alternative to, the proposed programme of risks to be presented through to March 2023.

2. ALIGNMENT TO THE COUNCIL PLAN

The contents of this report provide the committee with a means to understand the key corporate risks that may prevent achievement of the Council Plan, and the steps being taken to mitigate these risks.

3. BACKGROUND

- 3.1 Report 256/21 introduced detailed risk presentations to the Scrutiny and Audit Committee. The following process was agreed: "A programme to bring one risk to each S&A committee meeting, except the June meeting which has a full agenda due to year end reporting, should be agreed at the start of each year. The risks to be included should be new risks, the highest scoring risks, or risk with large scoring fluctuation."
- 3.2 The risk owner, and/or their nominated team members will make a short (5 to 8 minutes) presentation to S&A on the risk, covering for example
 - why the risk is included in the risk register
 - internal controls that are already in place
 - what the impact of risk realisation might be
 - why scoring sits where it does
 - the mitigating actions in place, or progress in implementing planned mitigations
 - barriers/challenges to mitigation.
- 3.3 Members will then be able to discuss what they have heard and ask questions to further aid their understanding of the risk and how it impacts on Council business. It is envisaged that the detailed template sitting behind each risk in the risk register would provide this information.

4. PROPOSED PROGRAMME

- 4.1 The following timetable for 2022/23 is proposed based on the Corporate Risk Register at 17 May 2022.

S&A meeting date	Risk to be presented	Risk score	Risk Target
23 August 2022	Financial Sustainability	20	9
27 October 2022	Partnerships	12	9
29 November 2022	Transforming for the Future	9	6
31 January 2023	IT resilience & Cyber-attack (Business Continuity)	16	8
9 March 2023	Health & Safety Compliance	12	6
25 April 2023	Climate Change	16	12

- 4.2 If there are significant changes in risk score ranking, or a new risk is added to the risk register during the year, a short report will be prepared asking S&A members to review the programme. It is not anticipated this will require many changes.

5. FINANCIAL IMPLICATIONS

There are no financial implications.

6. EQUALITY IMPACT ASSESSMENT

An Equality Impact Assessment is not required, as this report does not impact on people.

Background Papers

NOTE: One background paper as defined by Section 50D of the Local Government (Scotland) Act 1973 (other than any containing confidential or exempt information) was relied on to any material extent in preparing this report.

256/21 Proposed detailed risk reporting to Scrutiny and Audit Committee

REPORT AUTHOR

Cathie Wyllie – Service Leader Internal Audit
ChiefExec@angus.gov.uk