



**ANGUS HEALTH AND SOCIAL CARE
INTEGRATION JOINT BOARD AUDIT COMMITTEE – 22 JUNE 2022
ANGUS ANNUAL RISK MANAGEMENT REPORT 2021/22
REPORT BY GAIL SMITH, CHIEF OFFICER**

ABSTRACT

To provide the Angus Integration Joint Board (IJB) Audit Committee with a report in relation to Risk Management activities which have been undertaken during 2021/22.

1. RECOMMENDATIONS

It is recommended that the IJB Audit Committee:-

- (i) Notes the contents of Angus HSCP Annual Risk Management Report 2021/22 (Appendix 1).
- (ii) Recommends any further action it considers necessary.

2. BACKGROUND

Members of the IJB Audit Committee are responsible for:-

- Oversight of the IJB risk management arrangements.
- Receipt, review and scrutiny of reports on corporate strategic risks and any key operational risks.
- Ensuring they are aware of any risks linked to recommendations from the Chief Officer covering new priorities / policies.

Within Angus Health & Social Care Partnership (HSCP) the IJB Audit Committee has delegated responsibility from the IJB for ensuring all relevant strategic and operational risks are accurately identified, assessed, evaluated, recorded and monitored.

The Chief Officer, as Accountable Officer, has responsibility for maintaining a sound system of Internal Control and reviewing the effectiveness of the risk management system within the organisation, facilitating the preparation of an Annual Governance Statement. In addition, IJB's are subject to the requirements of the Scottish Public Finance Manual and must operate a risk management strategy.

Angus IJB approved a Risk Management Strategy in April 2021 (Report, IJB 6/21 refers). The IJB monitors a series of corporate strategic risks using agreed methodologies. The risks monitored include service, financial, clinical care and professional governance, performance management and workforce risks. The scrutiny and management of risks is devolved to Angus HSCP Clinical, Care and Professional Governance Group (CCPG), chaired by Angus HSCP's Clinical Director. Monitoring of risk performance is undertaken on a bi-monthly basis with an overview provided to Angus HSCP Executive Management Team and NHS Tayside Care Governance Committee.

The IJB Strategic Risk Register is to be reported to Angus IJB Audit Committee twice a year.

The presentation of this report on an annual basis to the IJB Audit Committee, together with a mid-year update, aims to demonstrate that arrangements for Risk Management are in place.

This framework comprises robust governance, strategy, structure, policies and procedures based on sound risk management principles appropriate to integrated working. This will provide assurance that there are systems in place to manage risk throughout Angus HSCP.

3. CURRENT POSITION

During 2019/20 an Internal Audit review of Risk Management was concluded (AN05/20). Actions from this continue to be progressed, with some delay due to COVID-19. Outstanding actions regarding Internal Audit reviews are separately monitored through the Audit Committee.

As noted above, in April 2021, the IJB updated its Risk Management Strategy and there are a small number of impending actions still to be concluded, partly due to COVID-19 related delays – including the development of the IJB's Risk Appetite.

Monitoring of corporate strategic risk performance has been undertaken on a regular basis during 2021/22 through the CCPG Group, this and other issues associated with Risk Management are captured in the attached Annual Report (appendix 1).

4. PROPOSALS

The IJB Audit Committee is asked to note the report and recommend any further action it considers necessary.

5. FINANCIAL IMPLICATIONS

There are no new financial implications from this report. Any financial implications of managing documented risks will be reported separately.

6. RISK

No new risk other than the ones described in this report.

7. EQUALITY IMPACT ASSESSMENT

An Equality Impact Assessment is not required.

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Appendix 1 – Angus Health & Social Care Partnership – Angus HSCP Annual Risk Management Report 2021/22