



**ANGUS HEALTH AND SOCIAL CARE**

**INTEGRATION JOINT BOARD AUDIT COMMITTEE – 24 AUGUST 2022**

**2021/22 ANGUS INTEGRATION JOINT BOARD – ASSURANCES RECEIVED FROM PARTNERS**

**REPORT BY ALEXANDER BERRY, CHIEF FINANCE OFFICER**

**ABSTRACT**

In compiling the Integration Joint Board (IJB) Annual Governance statement, reference was made to receipt of assurance from NHS Tayside, Angus Council, Dundee IJB and Perth & Kinross IJB regarding their governance arrangements, noting that Angus IJB is reliant on these organisations to deliver the IJB's overall aims and objectives. This report provides an update on those assurances.

**1. RECOMMENDATIONS**

It is recommended that the Integration Joint Board Audit Committee: -

- (i) Notes that the IJB has issued confirmation of the adequacy and effectiveness of the governance arrangements in place within Angus IJB for 2021/22 to Angus Council, NHS Tayside, Dundee IJB and Perth & Kinross IJB;
- (ii) Notes the position re confirmation of receipt of assurance from Angus Council;
- (iii) Notes the content of NHS Tayside's Governance Statement and that it provides the required assurance to Angus IJB regarding arrangements in place within NHS Tayside;
- (iv) Notes the content of Perth & Kinross IJB Governance Statement and that it provides the required assurance to Angus IJB regarding arrangements in place within Perth & Kinross IJB;
- (v) Notes the content of Dundee IJB Governance Statement and that it provides the required assurance to Angus IJB regarding arrangements in place within Dundee IJB; and
- (vi) Notes that the status of assurances received above are consistent with the contents of the IJB's Governance Statement in the audited Annual Accounts.

**2. BACKGROUND**

2.1 On an annual basis the IJB has to include a Governance Statement within its Annual Accounts. Angus IJB Audit Committee considered and approved Angus IJB's draft 2021/22 Governance Statement at its June 2022 meeting (report 47/22).

Report 47/22 noted that Angus IJB had to provide assurances to Angus Council, NHS Tayside and neighbouring IJBs (Dundee IJB and Perth & Kinross IJB with respect to services hosted on their behalf) regarding governance arrangements in place within Angus IJB. This was duly completed in July 2022.

Report 47/22 also noted the reliance Angus IJB places on the governance arrangements within NHS Tayside, Angus Council, Dundee IJB and Perth & Kinross IJB and that assurance would be required from all these organisations regarding internal controls and their adequacy and effectiveness prior to the August 2022 IJB Audit Committee. Assurances requested from Dundee and Perth & Kinross IJBs are with respect to their overall governance arrangements, given they host services on behalf of Angus IJB.

### 3. CURRENT POSITION

#### 3.1 Angus Council

Angus Council's Scrutiny and Audit Committee are due to meet on the 23 August 2022. They anticipate approving for issue the draft letter attached at appendix 1. This letter is intended to confirm the status of Angus Council governance arrangements for 2021/22 and the reliance Angus IJB can place on these. The letter, from the Chair of the Committee confirms "that adequate and effective governance arrangements were in place throughout Angus Council during the year 2021/22 in relation to the support services provided to Angus IJB.

A copy of the letter's content is attached at appendix 1.

#### 3.2 NHS Tayside

It has been agreed with NHS Tayside that they would share their 2021/22 Governance Statement with Angus IJB as the vehicle for providing assurance to Angus IJB. NHS Tayside's Governance Statement was considered within Annual Accounts submitted to an NHS Tayside meeting on 30<sup>th</sup> June 2022. While the overall governance statement was considered under reserved business, the governance statement did conclude by noting: -

"...I am able to conclude with the ongoing improvement work undertaken throughout the year, as evidenced above; the governance framework and the assurances and evidence received from the Board's committees, that corporate governance continues to be strengthened and internal controls were operating adequately and effectively throughout the financial year ended 31 March, 2022."

This consequently provides the required assurance to Angus IJB regarding arrangements in place within NHS Tayside.

From an IJB perspective it is worth noting the following issues were noted with respect to Health and Social Care Partnerships: -

Integration Schemes – The progress being made with the updating of Integration Schemes.

Dundee IJB and Perth & Kinross IJB – No material issues noted.

Angus IJB – The NHS Tayside Governance Statement reflected on issues associated with Adult Protection that have been highlighted previously in Angus IJB and are reflected in the IJB's own governance statement.

#### 3.3 Perth & Kinross IJB

It has been agreed with Perth & Kinross IJB that they would share their 2021/22 Governance Statement with Angus IJB as the vehicle for providing assurance to Angus IJB. Perth & Kinross IJB's Governance Statement was considered at a meeting on 27 June 2022. The Annual Governance Statement concluded by noting: -

"Whilst recognising that improvements are required ... it is our opinion that reasonable assurance can be placed upon the adequacy and effectiveness of the IJB's governance arrangements. We consider that internal control environment operating during 2021/22 to provide reasonable and objective assurance that any significant risks impacting on the achievement of our objectives will be identified and actions taken to avoid or mitigate their impact. Systems are in place to continually review and improve the governance and internal control environment."

This consequently provides the required assurance to Angus IJB regarding arrangements in place within Perth & Kinross IJB.

#### 3.4 Dundee IJB

It has been agreed with Dundee IJB that they would share their 2021/22 Governance Statement with Angus IJB as the vehicle for providing assurance to Angus IJB. Dundee

IJB's Governance Statement was considered at a meeting on 22 June 2022. The Annual Governance Statement concluded by noting: -

"While recognising that improvements are required, as detailed above, it is our opinion that reasonable assurance can be placed upon the adequacy and effectiveness of Dundee City Integration Joint Board's governance arrangements. We consider that the internal control environment provides reasonable and objective assurance that any significant risks impacting on the Integration Joint Board's principal objectives will be identified and actions taken to avoid or mitigate their impact. Systems are in place to regularly review and improve the internal control environment."

This consequently provides the required assurance to Angus IJB regarding arrangements in place within Dundee IJB.

3.5 Alongside the above assurances, the IJB Audit Committee has previously been sighted on the Dundee and Perth & Kinross IJB Annual Internal Audit reports. These are available to the IJB members at the links noted below.

IJB	Report	Internet Link
Dundee	Internal Audit Annual Report 2021/22 (Report No. DIJB49-2022)	<a href="https://www.dundee.gov.uk/reports/agendas/hsc220622ag.pdf">https://www.dundee.gov.uk/reports/agendas/hsc220622ag.pdf</a> Page 77 of 224
Perth & Kinross	Internal Audit Annual Report 2021/22 (Report No. G/22/102)	<a href="https://perth-and-kinross.cmis.uk.com/perth-and-kinross/Meetings/tabid/70/ctl/ViewMeetingPublic/mid/397/Meeting/3260/Committee/95/Default.aspx">https://perth-and-kinross.cmis.uk.com/perth-and-kinross/Meetings/tabid/70/ctl/ViewMeetingPublic/mid/397/Meeting/3260/Committee/95/Default.aspx</a> Item 8.2

It is evident there are some commonalities in presentation between the Dundee and Angus positions – possibly partly linked to shared Internal Auditors. The Perth & Kinross report is shorter and links more to the outputs of a series of Internal Audits during the financial year.

#### 4. PROPOSALS

The Audit Committee are requested to note the recommendations as detailed in Section 1 of the Report.

#### 5. EQUALITY IMPACT ASSESSMENT

An Equality Impact Assessment is not required.

**REPORT AUTHOR:** ALEXANDER BERRY, CHIEF FINANCE OFFICER  
**EMAIL DETAILS:** Tay.AngushSCP@nhs.scot  
**DATE:** August 2022

List of Appendices:

Appendix 1: Assurances Provided by Angus Council – Year to 31 March 2022

**Appendix 1: Assurances Provided by Angus Council – Year to 31 March 2021**

AK/KT/2022GOVASR

23 August 2022

Gail Smith  
Chief Officer  
Angus Integrated Joint Board  
Angus House  
Orchardbank Business Park  
Forfar  
DD8 1AX

Dear Gail Smith

Assurance provided by Angus Council – Year to 31 March 2022

Angus Council provides support services to Angus Integrated Joint Board (Angus IJB) under the agreement dated 4 February 2016.

The Angus Council Scrutiny & Audit Committee has overseen delivery of a risk-assessed Internal Audit plan for 2021/2022. As Convener of the Scrutiny & Audit Committee, taking into account the work undertaken by the committee, I confirm on behalf of the committee that adequate and effective governance arrangements were in place throughout Angus Council during the year 2021/22 in relation to the support services provided to Angus IJB.

Further detail can be found in the following reports, which were considered by the committee at its meeting on 23 June 2022:

- [Internal Audit Activity Report 2021/22](#)
- [Draft Annual Governance Statement 2021/22](#)
- [Scrutiny & Audit Committee Annual Report for 2021/22](#)

Yours sincerely

Councillor Craig Fotheringham  
Convener, Angus Council Scrutiny & Audit Committee