

## SCRUTINY AND AUDIT COMMITTEE – 27 OCTOBER 2022

## DETAILED RISK REPORTING TO SCRUTINY AND AUDIT COMMITTEE

## REPORT BY CATHIE WYLLIE – SERVICE LEADER - INTERNAL AUDIT

**ABSTRACT**

This report presents detailed risk information to the Scrutiny and Audit Committee in line with the programme agreed by the Scrutiny and Audit Committee in June 2022 (Report 163/22/ refers) and confirmed in August 2022 (Report 207/22 refers).

**1. RECOMMENDATION**

It is recommended that Committee:

- (i) Scrutinise and note the information presented about the Partnerships risk.

**2. ALIGNMENT TO THE COUNCIL PLAN**

The contents of this report, and the related presentation provide the Committee with a deeper understanding of a key corporate risk that may prevent achievement of the Council Plan, and the steps being taken to mitigate the risk.

**3. BACKGROUND**

The following timetable for individual risk presentations, based on the Corporate Risk Register at 17 May 2022, was agreed for 2022/23:

<b>S&amp;A meeting date</b>	<b>Lead officer</b>	<b>Risk to be presented</b>	<b>Risk Score May 2022</b>	<b>Risk Target</b>	<b>Revision August 2022</b>
23 August 2022	Director of Finance	Financial Sustainability	20	9	
<b>27 October 2022</b>	<b>Director of Strategic Policy, Transformation &amp; Public Sector Reform</b>	<b>Partnerships</b>	<b>12</b>	<b>9</b>	<b>Score 9 Target 6</b>
29 November 2022	Chief Executive	Transforming for the Future	9	6	
31 January 2023	Director of HR, Digital Enablement, IT & Business Support	IT resilience & Cyber-attack (Business Continuity)	16	8	
9 March 2023	Depute Chief Executive	Health & Safety Compliance	12	6	
25 April 2023	Director of Vibrant Communities and Sustainable Growth	Climate Change	16	12	

#### **4. CURRENT POSITION**

- 4.1 There will be a short presentation on the Partnerships risk. The related information from the Corporate Risk Register is included in Appendix 1.
- 4.2 There have been no significant changes to the Corporate Risks since this was last reported to the August Scrutiny & Audit committee in Report number 206/22.

#### **5. FINANCIAL IMPLICATIONS**

There are no direct financial implications.

#### **6. EQUALITY IMPACT ASSESSMENT**

An Equality Impact Assessment is not required, as this report does not impact on people. It does not impact on people because this report provides information about risks and their mitigation. Any people impact would be dealt with at other active stages of risk mitigation if applicable.

#### **Background Papers**

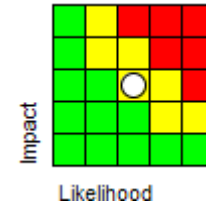
**NOTE:** No background papers as defined by Section 50D of the Local Government (Scotland) Act 1973 (other than any containing confidential or exempt information) were relied on to any material extent in preparing this report.

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List of Appendices:  
Appendix 1- Corporate Risk Register – Partnerships

## Appendix 1

### Data from Corporate Risk Register 14 October 2022



#### Risk Title

CORRR0004 Partnerships

#### Risk Description

Failure to align / influence the differing priorities of partners can lead to decisions being taken that deviate the focus from the delivery of council priorities and outcomes.

#### Likelihood

As budget pressures on partners grow, there is a very real risk that collaborative working across a range of services will suffer.

#### Potential Impact

Efficiencies through joined up working will not be delivered as short term decisions may be taken. This may result in savings identified in the change programme relating to partnership working not being realised or delivered within anticipated timescales.

#### Existing Controls

Regular meetings with a wide range of partners through ACPP

Regular meetings between Chief Executives of neighbouring authorities.

Shared understanding that partnership working delivers economies of scale in certain areas.

Legislative requirements

Central Government expectation

Strategic Commissioning (SC) function within the Council




SC function holds regular liaison meetings with partners


Tayside Contracts (TC), Angus Health & Social Care partnership (AHSCP), Angus Alive (AA) and Voluntary Action Angus (VAA)

Council representative(s) attend TC, AHSCP and AA strategic / board / governance meetings

**Risk Likelihood Score:** 3  
**Risk Impact Score:** 3  
**Overall Risk Score:** 9

**Additional Controls/Actions to Reduce Likelihood and/or Impact Scores**

Controls/Actions		Due Date	Status	Desired Outcome	Owner	Latest Update	Date
AC-COR-00015 Continue development work with Angus Alive to align priorities	Consider a range of issues related to strategic alignment with Council priorities	31-Mar-2023		Improved understanding of council needs in relation to delivering priorities Evidence of aspects where partner will improve contribution Evidence to exhibit future partners actions (e.g. agreed action plan or similar)	Senior Practitioner - Strategic Commissioning	ANGUSalive (AA) continue their post pandemic return to business supported by the Council. Various opportunities have been identified for AA to pursue which will provide more opportunities for income and improved services to communities. Updating of the Services Agreements are being undertaken to reflect current provision. Progress of the overall transformation of AA is being monitored and reported to Council on a regular basis	20-Jul-2022
AC-COR-00016 Continue development work with Tayside Contracts to align priorities	Consider a range of issues related to strategic alignment with council priorities	31-Mar-2023		Improved understanding of council needs in relation to delivering priorities Evidence of aspects where partner will improve contribution Evidence to exhibit future partners actions (e.g. agreed action plan or similar)	Depute Chief Executive	Work with TC GSG and services continues to monitor existing service delivery, identify areas for improvement, saving and income generation. Transformation workshops focused on several service delivery areas have been agreed to be undertaken identifying future savings projects across the Tayside Councils through Tayside Contracts	20-Jul-2022
AC-COR-00017 Continue development work with Voluntary Action Angus to align priorities	Improved understanding of council needs in relation to delivering priorities	31-Mar-2023		Improved understanding of council needs in relation to delivering priorities	Senior Practitioner - Strategic Commissioning	Work continues with VAA to better align 3rd sector partnerships through the Transforming Services group and through leadership sharing	20-Jul-2022

	Evidence of aspects where partner will improve contribution Evidence to exhibit future partners actions (e.g. agreed action plan or similar)			Evidence of aspects where partner will improve contribution Evidence to exhibit future partners actions (e.g. agreed action plan or similar)		on the Angus Community Planning Partnership. The Agreement held between the Council and VAA has been transitioning into an outcomes focused agreement which has served as a template for more trusted and improved service delivery arrangements.	
AC-COR-00059 Review of Community Plan	reviewing the Community Plan with partners and engaging with our communities ensures there is a plan in place that can deliver what people in Angus need.	30-Nov-2022		Community Plan signed off at partner boards / committees.	Manager - Strategic Policy & Planning	Updates are presented at every Community Planning Partnership.	12-Jul-2022

**Target Likelihood:** 2

**Target Impact:** 3

**Overall Target Score:** 6

<b>Risk Owner:</b>	Vivien Smith, Director of Strategic Policy, Transformation & Public Sector Reform
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Latest Update	By	Date
Audit Scotland has recognised that Angus Council has effective partnership working in place in the Best Value audit of Angus Council. It is therefore appropriate to review the risk score and reduce it to the target of 3 and 3 giving an overall risk score of 9. The new target will be 2 and 3 respectively for likelihood and impact.	Vivien Smith	19 Jul 2022