

ANGUS COUNCIL

SCRUTINY AND AUDIT COMMITTEE – 23 JANUARY 2024

CORPORATE RISKS AND RISK MANAGEMENT

REPORT BY KATHRYN LINDSAY – CHIEF EXECUTIVE

ABSTRACT

This report provides an update on the progress and the work being undertaken on the council's risk management framework, including an updated corporate risk register.

1. RECOMMENDATIONS

It is recommended that the Scrutiny and Audit Committee:

- (i) note the work being undertaken to promote and embed risk management; and
- (ii) scrutinise the corporate risk register and all risks contained therein.

2. ALIGNMENT TO THE COUNCIL PLAN

The contents of this report contribute to the delivery of the corporate priorities set out in the Community Planning and Council Plans. This is achieved by providing the Scrutiny and Audit Committee with information and assurance on the council's corporate risks and the ongoing work to embed and fully utilise the risk management framework.

3. BACKGROUND

Reference is made to item 12 of the minute of this Committee on 22 August 2023, Report no. 218/23 where an update was provided on work undertaken to promote and embed risk management. The updated corporate risk register was also reported.

4. CURRENT POSITION

The Risk Monitoring Group will undertake a full review of the corporate risk register in January 2024, in discussion with risk owners and the Corporate Leadership Team (CLT). This will ascertain if the current risks are still relevant, identify new risk owners due to the retirement of senior officers, and reflect the council's plans and priorities, alongside the identification of any new and emerging risks.

Further development of our risk appetite framework has been delayed due to demands and pressures on the risk team and council services. This will be addressed early in 2024 with our insurers, Zurich Municipal, and thereafter presented to CLT and a future meeting of this committee.

Work is nearing completion to finalise directorate risk registers. Registers will then be reported to the Risk Monitoring Group at its first meeting in 2024. A request for an extension to the completion dates for the associated actions in the Corporate Governance - Action Plan have been requested and submitted in report number 22/24 and reported to this committee meeting.

Corporate risks have been reviewed in the interim, subject to the full review that will take place in early 2024. The summary dashboard is noted at Appendix 1 and a detailed report included at Appendix 2.

The following risk scores have been revised:

- a. The legislation risk score has been lowered from 9 to 6 following the implementation of an effective system to ensure that consultations on proposed new legislation are routinely highlighted to relevant services across the council. The likelihood score has been reduced from 3 (low to high) to 2 (low) due to this practical mitigation.
- b. As noted in Report 218/23 the Covid-19 risk has been de-escalated to directorate level and therefore no longer shows in the corporate risk register.

Separately, the progression of discussions related to the National Care Service (Scotland) Bill are being closely monitored. Any risk implications will be developed as required.

5. PROPOSALS

That the Committee scrutinises the progress of the corporate risk register and the ongoing work on the council's risk management framework.

6. FINANCIAL IMPLICATIONS

There are no financial implications arising directly from this report.

7. OTHER IMPLICATIONS

There are no other implications arising from this report.

8. EQUALITY IMPACT ASSESSMENT

An Equality Impact Assessment has been carried out and is attached.

NOTE: No background papers, as detailed by Section 50D of the Local Government (Scotland) Act 1973 (other than any containing confidential or exempt information) were relied on to a material extent in preparing the above report.

REPORT AUTHOR: Jacqui Semple, Manager – Risk, Resilience & Safety
EMAIL DETAILS:CHIEFEXEC@angus.gov.uk

List of Appendices:
Appendix 1 Corporate Risk Dashboard
Appendix 2 Corporate Risk Register



Equality Impact/Fairer Scotland Duty Assessment Form

Step 1

Name of Proposal

Regular committee report to Scrutiny & Audit (23 January 2024) giving update on the council's corporate risks and risk management framework for the past 6 months. This information is reported twice yearly to this committee.

Step 2

Is this only a **screening** Equality Impact Assessment **Yes**

(A) If Yes, please choose from the following options **all** reasons why a full EIA/FSD is not required:

- | | | |
|-------|---|------------|
| (i) | It does not impact on people | Yes |
| (ii) | It is a percentage increase in fees which has no differential impact on protected characteristics | No |
| (iii) | It is for information only | Yes |
| (iv) | It is reflective e.g. of budget spend over a financial year | Yes |
| (v) | It is technical | No |

If you have answered yes to any of points above, please go to **Step 16**, and sign off the Assessment.

Step 16: Sign off and Authorisation. Please state name, post, and date for each:

Prepared by: Alison Frew, Insurance & Risk Adviser, 06 December 2023

Reviewed by: Doreen Phillips, Snr Practitioner (Equalities), 6 December 2023

Approved by: Jacqui Semple, Manager – Risk, Resilience & Safety, 6 December 2023